

Neutrino oscillations and supernovae

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1 Lecture 1

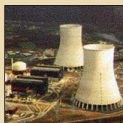
- Atmospheric neutrino puzzle
- Solar neutrino puzzle
- Our current understanding of neutrino mixing
- Explosion of a core collapse supernova
- MSW resonances inside the supernova

2 Lecture 2

- Review of the SN explosion
- Nonlinear “collective” effects on neutrino oscillations
- Combining collective effects with MSW resonances
- Observable signals at the detectors

Where do Neutrinos Appear in Nature?

✓ Nuclear Reactors



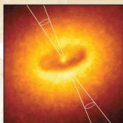
Sun ✓

✓ Particle Accelerators



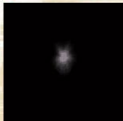
Supernovae
(Stellar Collapse)
SN 1987A ✓

✓ Earth Atmosphere
(Cosmic Rays)



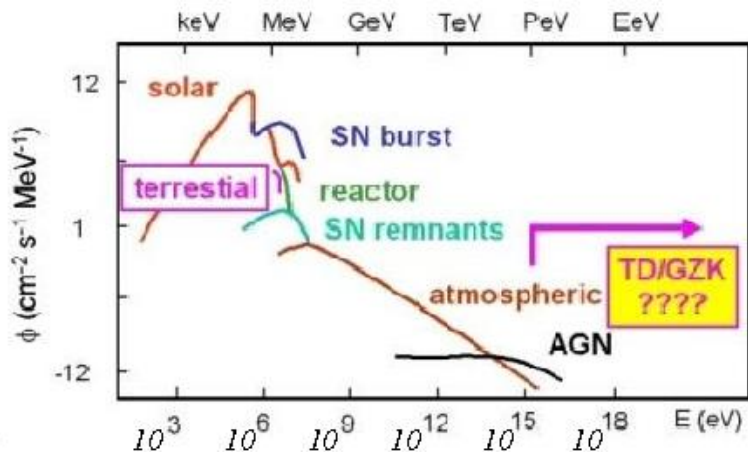
Astrophysical
Accelerators
Soon ?

✓ Earth Crust
(Natural
Radioactivity)



Cosmic Big Bang
(Today $330 \nu/\text{cm}^3$)
Indirect Evidence

Spectrum of neutrino sources



Some numbers to remember

- Solar ν flux : ~ 66 billion / cm^2 / sec $\sim 10^{12}$ /palm/sec
- Thickness of lead shielding needed to stop solar neutrinos:
100 light years
- Size of Super-Kamiokande: 40 kiloton \sim this hall, with
about 10 times the height
- Number of neutrinos (solar + atmospheric) detected at SK
per day: $\lesssim 10$

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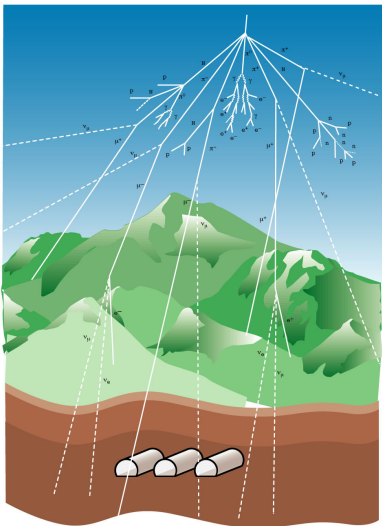
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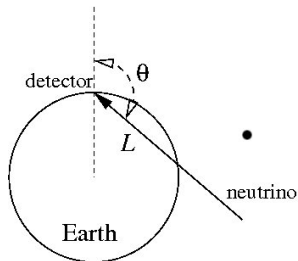
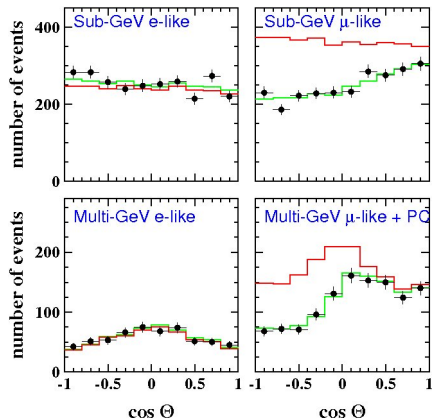
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Neutrinos from cosmic rays



- $\pi^+ \rightarrow \mu^+ + \nu_\mu$
- $\mu^+ \rightarrow e^+ + \nu_e + \bar{\nu}_\mu$
- “ ν_μ ” flux = $2 \times$ “ ν_e ” flux
- “Down” flux = “Up” flux

Zenith angle dependence



Super-Kamiokande

Missing muon neutrinos !

Solution through “vacuum oscillations”

- $H = \sqrt{p^2 + m^2} \approx p + m^2/(2E)$
- Effective Hamiltonian (2×2):

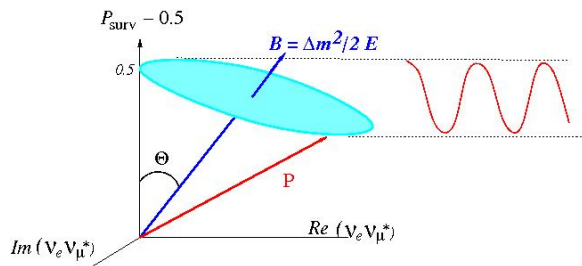
$$\begin{aligned} H &= \frac{1}{2E} \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} m_1^2 & 0 \\ 0 & m_2^2 \end{pmatrix} \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \\ &= \frac{m_2^2 + m_1^2}{2E} + \frac{1}{4E} \begin{pmatrix} -\Delta m^2 \cos 2\theta & \Delta m^2 \sin 2\theta \\ \Delta m^2 \sin 2\theta & \Delta m^2 \cos 2\theta \end{pmatrix} \end{aligned}$$

- Eigenvalues: $\frac{m_1^2}{2E}, \frac{m_2^2}{2E}$
- Survival probability

$$P(\nu_\mu \rightarrow \nu_\mu) = 1 - \sin^2 2\theta \sin^2 \left(\frac{\Delta m^2 L}{4E} \right)$$

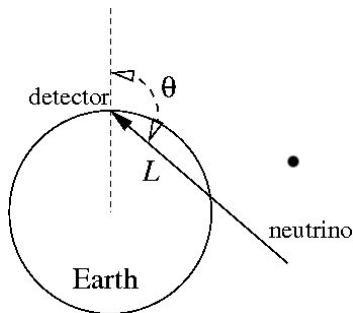
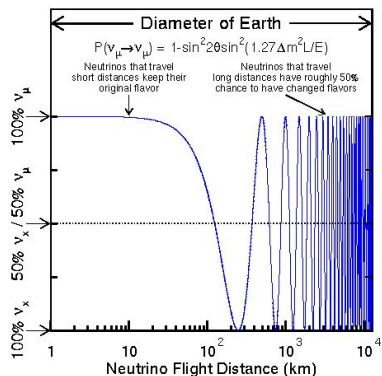
$$\Delta m^2 \equiv m_2^2 - m_1^2$$

Precession of the polarization vector



- Density matrix $\rho = P_0/2 + \vec{P} \cdot \vec{\sigma}$
- Half-angle of precession = θ = mixing angle
- Different energies: same cone, different precession speeds

Solution of the atmospheric neutrino puzzle



- ν_μ oscillate into ν_τ
- ν_e do not participate

$$\Delta m_{\text{atm}}^2 \approx (1.3-3.4) \times 10^{-3} \text{ eV}^2$$
$$\text{Mixing angle } \theta_{\text{atm}} \approx 36^\circ - 54^\circ$$

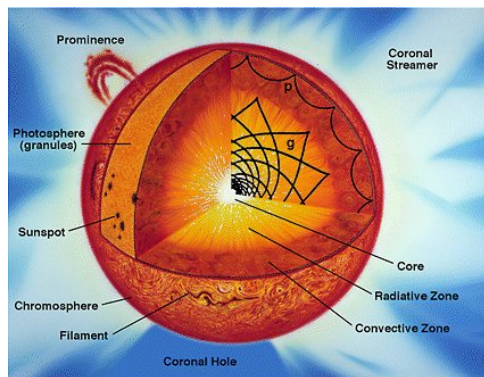
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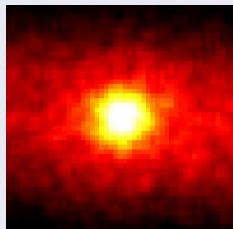
How the Sun shines



- Nuclear fusion reactions: mainly $4\text{}^1_1\text{H} \rightarrow \text{}^4_2\text{He} + 2\text{e}^+ + 2\nu_e$
- Light cannot be produced unless neutrinos are produced !!
- Davis-Koshiba Nobel prize 2002

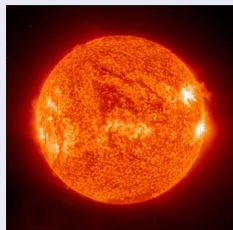
Sun: now and then

Sun in neutrinos: 8 minutes ago



Angular size $\sim 20^\circ$

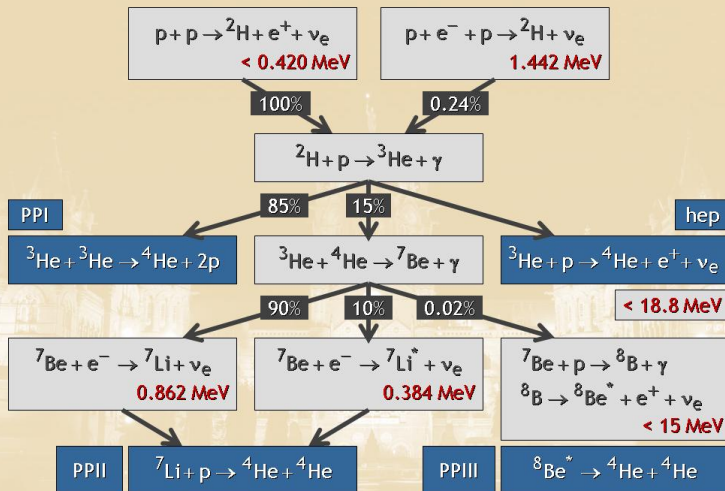
Sun in photons: a few million years ago



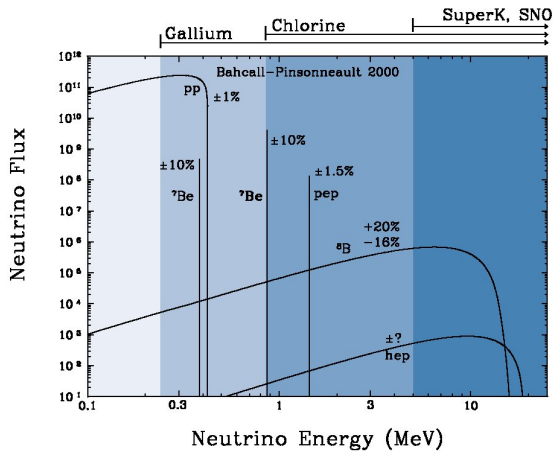
Angular size $\sim 1^\circ$

Nuclear reactions inside the Sun

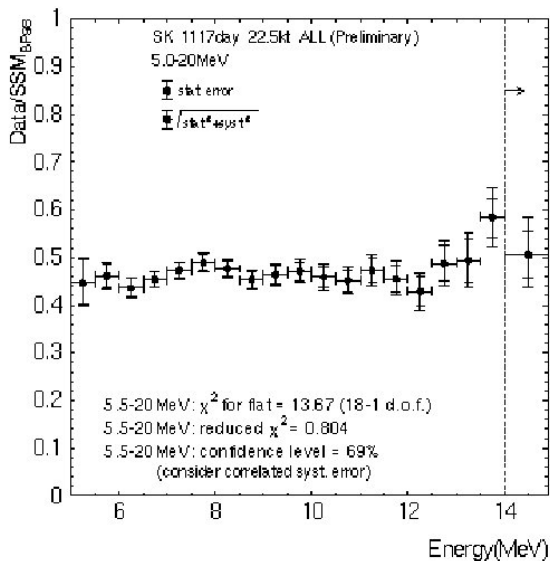
Hydrogen burning: Proton-Proton Chains



The solar neutrino spectra

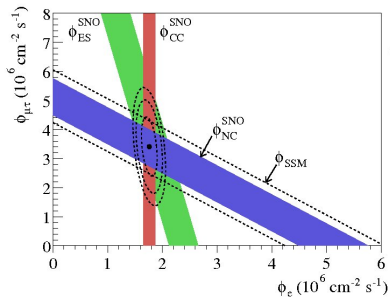


Mystery of missing solar neutrinos



Where did the missing neutrinos go ?

Solar ν_e convert to ν_μ and ν_τ



- $\nu_e D \rightarrow p p e^-$
- $\nu_{e,\mu,\tau} e^- \rightarrow \nu_{e,\mu,\tau} e^-$
- $\nu_{e,\mu,\tau} D \rightarrow n p \nu_{e,\mu,\tau}$

SNO

- ν_e oscillate into ν_μ and ν_τ

2- ν level crossing: MSW resonance

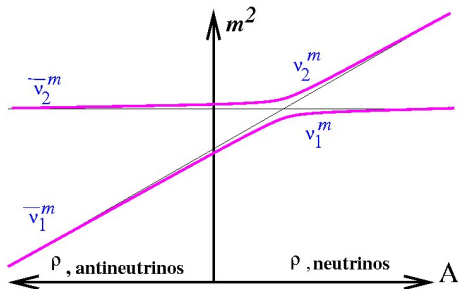
- Effective Hamiltonian:

$$H = \frac{1}{4E} \begin{pmatrix} -\Delta m^2 \cos 2\theta + 2A & \Delta m^2 \sin 2\theta \\ \Delta m^2 \sin 2\theta & \Delta m^2 \cos 2\theta \end{pmatrix}$$

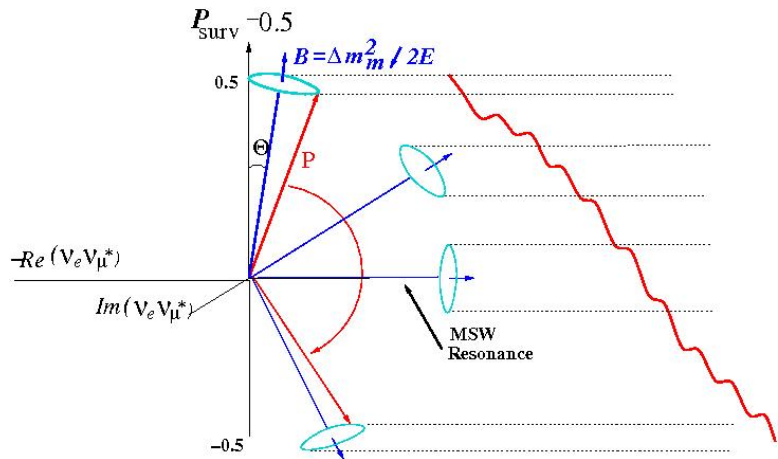
$$(A = 2\sqrt{2}G_F N_e E)$$

- Eigenvalues:

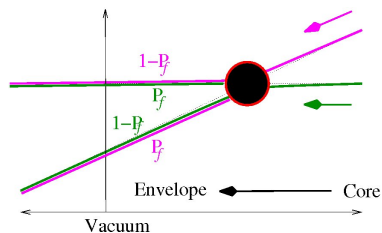
$$\frac{m_i^2}{2E} = \frac{1}{2E} \left[\frac{A}{2} \mp \sqrt{(\Delta m^2 \cos 2\theta - A)^2 + (\Delta m^2 \sin 2\theta)^2} \right]$$



Precession picture of MSW resonance



Adiabaticity at a resonance



$$P_f \approx \exp(-\pi\gamma/2)$$

Landau'1932, Zener'1932

$$\gamma \equiv \frac{\Delta m^2 \sin^2 2\theta}{2E \cos 2\theta} \left(\frac{1}{n_e} \frac{dn_e}{dr} \right)^{-1}$$

$$\gamma \gg 1 \Rightarrow P_f \ll 1 \Rightarrow$$

Adiabatic resonance

P_f depends on: Δm^2 , mixing angle θ_{\odot} , density profile

Solution of the solar neutrino puzzle

- Survival probability:

$$P(\nu_e \rightarrow \nu_e) \approx P_f \cos^2 \theta_\odot + (1 - P_f) \sin^2 \theta_\odot$$

- **No oscillations !** (Mass eigenstates have decohered)

$$\Delta m_\odot^2 \approx (7.2-9.5) \times 10^{-5} \text{ eV}^2$$

$$\text{Mixing angle } \theta_\odot \approx 28^\circ-36^\circ$$

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Our current knowledge about neutrinos

- ν_e, ν_μ, ν_τ mix among each other
- Atmospheric neutrinos:
 $\Delta m_{\text{atm}}^2 \approx 2 \times 10^{-3} \text{ eV}^2, \theta_{\text{atm}} \approx 45^\circ$
- Solar neutrinos:
 $\Delta m_{\odot}^2 \approx 8 \times 10^{-5} \text{ eV}^2, \theta_{\odot} \approx 32^\circ$
- Reactor neutrinos:
the “third” angle: very small ($\theta_{13} < 12^\circ$, may even be zero).

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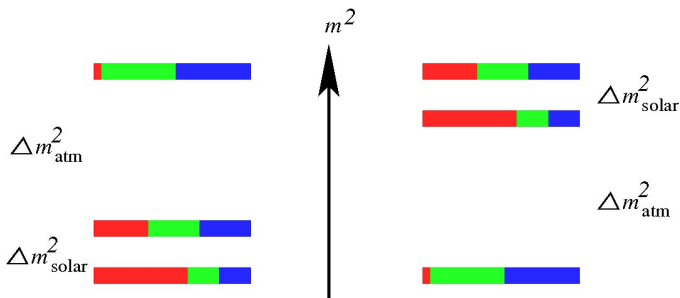
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Open questions in neutrino physics

- Mass hierarchy: Normal or Inverted ?
(red ν_e , green ν_μ , blue ν_τ)



- Absolute neutrino masses
- Are there more than 3 neutrinos ?
- CP violation ? own antiparticles ? ...

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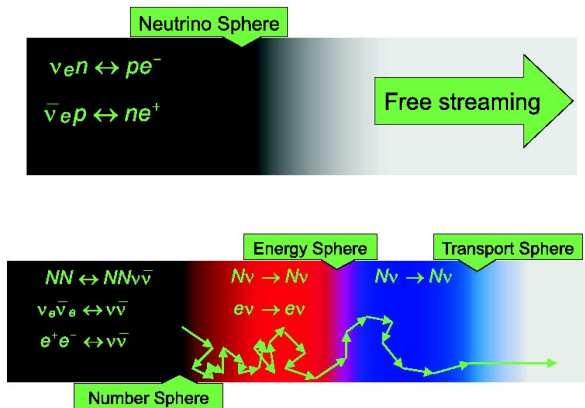
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Trapped neutrinos before the collapse

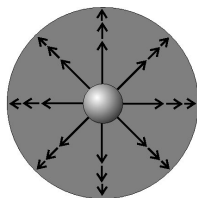
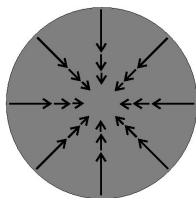
- Neutrinos trapped inside “neutrinospheres” around $\rho \sim 10^{10}$ g/cc.



- Escaping neutrinos: $\langle E_{\nu_e} \rangle < \langle E_{\bar{\nu}_e} \rangle < \langle E_{\nu_x} \rangle$

Core collapse, shock wave, and explosion

Gravitational core collapse \Rightarrow Shock Wave



Neutronization burst:

ν_e emitted for ~ 10 ms

Cooling through neutrino emission: $\nu_e, \bar{\nu}_e, \nu_\mu, \bar{\nu}_\mu, \nu_\tau, \bar{\nu}_\tau$

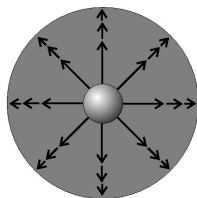
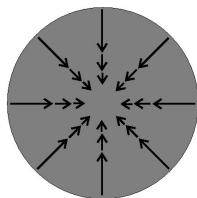
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Emission of most of the SN energy in neutrinos

??? *Explosion* ???

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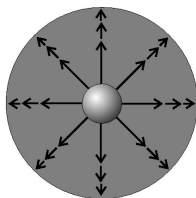
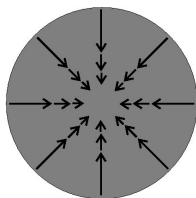
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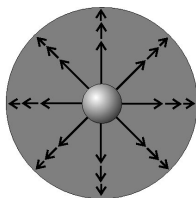
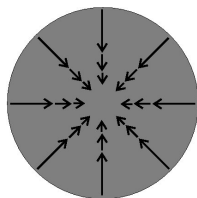
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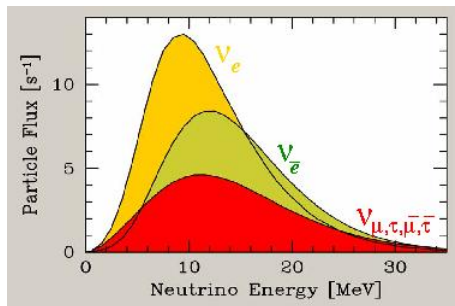
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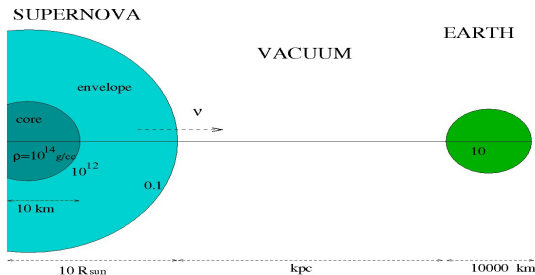
??? *Explosion* ???

Primary fluxes and spectra



- Almost blackbody spectra, slightly “pinched”
- Energy hierarchy: $E_0(\nu_e) < E_0(\bar{\nu}_e) < E_0(\nu_x)$
- $E_0(\nu_e) \approx 10\text{--}12 \text{ MeV}$
 $E_0(\bar{\nu}_e) \approx 13\text{--}16 \text{ MeV}$
 $E_0(\nu_x) \approx 15\text{--}25 \text{ MeV}$

Propagation through matter of varying density



Inside the SN: *flavour conversion*

Collective effects and **MSW matter effects**

Between the SN and Earth: *no flavour conversion*

Mass eigenstates travel independently

Inside the Earth: *flavour conversion*

MSW matter effects (*if detector is on the other side*)

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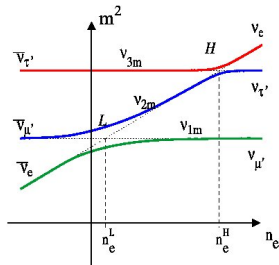
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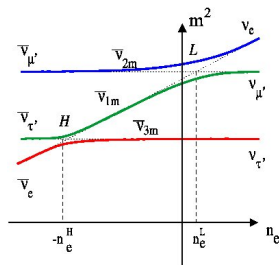
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MSW Resonances inside a SN

Normal mass ordering



Inverted mass ordering



H resonance: $(\Delta m_{\text{atm}}^2, \theta_{13}), \rho \sim 10^3 - 10^4 \text{ g/cc}$

- In $\nu(\bar{\nu})$ for normal (inverted) hierarchy
- Adiabatic (non-adiabatic) for $\sin^2 \theta_{13} \gtrsim 10^{-3} (\lesssim 10^{-5})$

L resonance: $(\Delta m_{\odot}^2, \theta_{\odot}), \rho \sim 10 - 100 \text{ g/cc}$

- Always adiabatic, always in ν

Mixing of fluxes due to MSW resonances

Mixture of initial fluxes:

$$\begin{aligned} F_{\nu_e} &= p F_{\nu_e}^0 + (1 - p) F_{\nu_x}^0, \\ F_{\bar{\nu}_e} &= \bar{p} F_{\bar{\nu}_e}^0 + (1 - \bar{p}) F_{\nu_x}^0 \end{aligned} \quad (1)$$

Survival probabilities in different scenarios:

	Hierarchy	$\sin^2 \theta_{13}$	p	\bar{p}
A	Normal	Large	0	$\cos^2 \theta_{\odot}$
B	Inverted	Large	$\sin^2 \theta_{\odot}$	0
C	Normal	Small	$\sin^2 \theta_{\odot}$	$\cos^2 \theta_{\odot}$
D	Inverted	Small	$\sin^2 \theta_{\odot}$	$\cos^2 \theta_{\odot}$

- “Small”: $\sin^2 \theta_{13} \lesssim 10^{-5}$, “Large”: $\sin^2 \theta_{13} \gtrsim 10^{-3}$.
- Scenarios C and D are degenerate !!

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D	Inverted	Small	$\sin^2 \theta_{\odot}$	$\cos^2 \theta_{\odot}$

- “Small”: $\sin^2 \theta_{13} \lesssim 10^{-5}$, “Large”: $\sin^2 \theta_{13} \gtrsim 10^{-3}$.
- Scenarios C and D are degenerate !!

Lessons from Lecture 1

- **Matter affects** neutrino mixing and flavour conversions
- MSW resonances sensitive to **mass hierarchy**
- $\sin^2 \theta_{13} \gtrsim 10^{-3}$ and $\sin^2 \theta_{13} \lesssim 10^{-5}$ give distinct results

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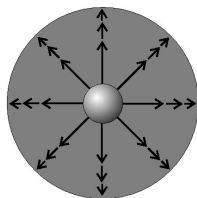
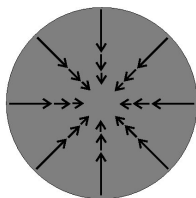
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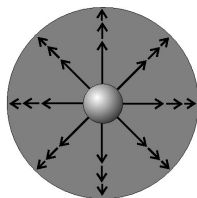
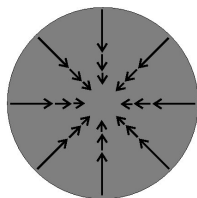
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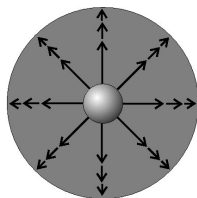
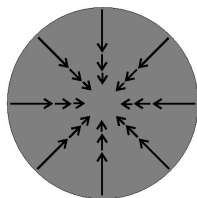
Duration: About 10 sec

Emission of most of the SN energy in neutrinos

??? *Explosion* ???

Core collapse, shock wave, and explosion

Gravitational core collapse \Rightarrow Shock Wave



Neutronization burst:

ν_e emitted for ~ 10 ms

Cooling through neutrino emission: $\nu_e, \bar{\nu}_e, \nu_\mu, \bar{\nu}_\mu, \nu_\tau, \bar{\nu}_\tau$

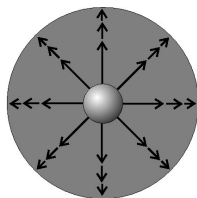
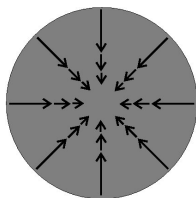
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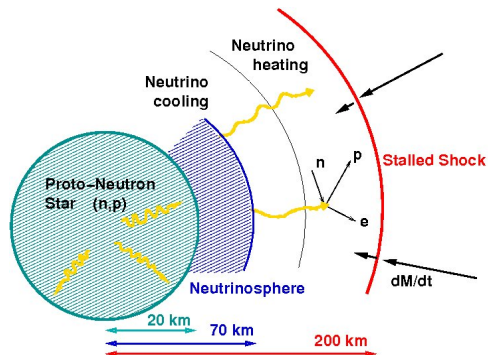
Duration: About 10 sec

Emission of most of the SN energy in neutrinos

??? *Explosion* ???

Role of neutrinos in explosion

Neutrino heating needed for pushing the shock wave

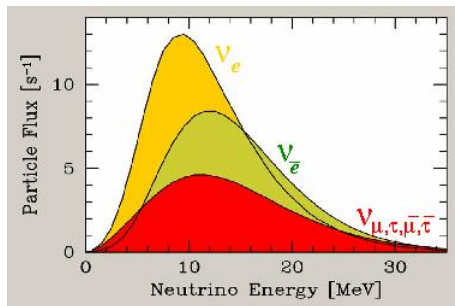


- Neutrino heating essential, but not enough
- No spherically symmetric (1-D) simulations show robust explosions
- Large scale convections required for explosion

An explosion

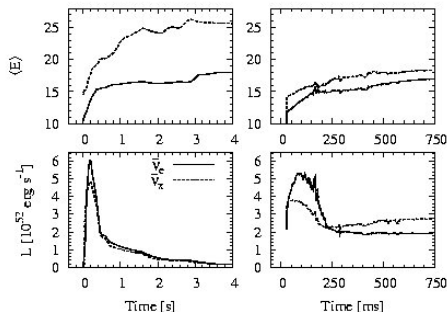
The explosion movie

Primary fluxes and spectra



- Almost blackbody spectra, slightly “pinched”
- Energy hierarchy: $E_0(\nu_e) < E_0(\bar{\nu}_e) < E_0(\nu_x)$
- $E_0(\nu_e) \approx 10\text{--}12 \text{ MeV}$
 $E_0(\bar{\nu}_e) \approx 13\text{--}16 \text{ MeV}$
 $E_0(\nu_x) \approx 15\text{--}25 \text{ MeV}$

Flavor-dependence of neutrino fluxes



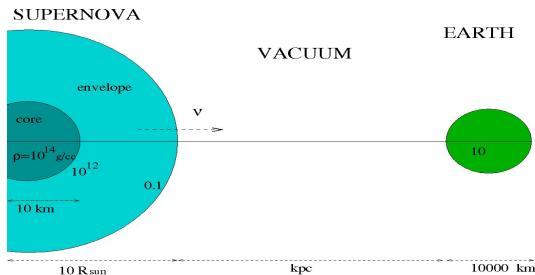
solid line: $\bar{\nu}_e$
dotted line: $\bar{\nu}_x$

Model	$\langle E_0(\nu_e) \rangle$	$\langle E_0(\bar{\nu}_e) \rangle$	$\langle E_0(\nu_x) \rangle$	$\frac{\Phi_0(\nu_e)}{\Phi_0(\nu_x)}$	$\frac{\Phi_0(\bar{\nu}_e)}{\Phi_0(\nu_x)}$
Garching (G)	12	15	18	0.8	0.8
Livermore (L)	12	15	24	2.0	1.6

G. G. Raffelt, M. T. Keil, R. Buras, H. T. Janka and M. Rampp, astro-ph/0303226

T. Totani, K. Sato, H. E. Dalhed and J. R. Wilson, Astrophys. J. 496, 216 (1998)

Propagation through matter of varying density



Inside the SN: *flavour conversion*

Collective effects and MSW matter effects

Between the SN and Earth: *no flavour conversion*

Mass eigenstates travel independently

Inside the Earth: *flavour conversion*

MSW matter effects (*if detector is on the other side*)

1

Lecture 1

- Atmospheric neutrino puzzle
- Solar neutrino puzzle
- Our current understanding of neutrino mixing
- Explosion of a core collapse supernova
- MSW resonances inside the supernova

2

Lecture 2

- Review of the SN explosion
- **Nonlinear “collective” effects on neutrino oscillations**
- Combining collective effects with MSW resonances
- Observable signals at the detectors

Nonlinear effects due to $\nu - \nu$ coherent interactions

- Large neutrino density \Rightarrow substantial $\nu - \nu$ potential

$$H = H_{\text{vac}} + H_{\text{MSW}} + H_{\nu\nu}$$

$$H_{\text{vac}}(\vec{p}) = M^2 / (2p)$$

$$H_{\text{MSW}} = \sqrt{2} G_F n_{e^-} \text{diag}(1, 0, 0)$$

$$H_{\nu\nu}(\vec{p}) = \sqrt{2} G_F \int \frac{d^3 q}{(2\pi)^3} (1 - \cos \theta_{pq}) (\rho(\vec{q}) - \bar{\rho}(\vec{q}))$$

- $$\frac{d\rho}{dt} = i [H(\rho), \rho] \Rightarrow \text{Nonlinear effects !}$$

Synchronized osc. \rightarrow Bipolar osc. \rightarrow Spectral split

2-ν flavors : Formalism

- Expand all matrices in terms of Pauli matrices as

$$X = \frac{I}{2} + \frac{1}{2} \sum_{i=1,2,3} X_i \sigma_i$$

- The following vectors result from the matrices

$$\rho_p \Leftrightarrow \mathbf{P}_\omega$$

$$H_p^0 \Leftrightarrow \omega \mathbf{B}$$

$$V \Leftrightarrow \sqrt{2} G_F N_e \mathbf{L} \equiv \lambda \mathbf{L}$$

$$H_p^{vv} \Leftrightarrow \sqrt{2} G_F (n + \bar{n}) \int d\omega f(\omega) \mathbf{P}_\omega \operatorname{sgn}(\omega) \equiv \mu \mathbf{D}$$

- EOM resembles spin precession

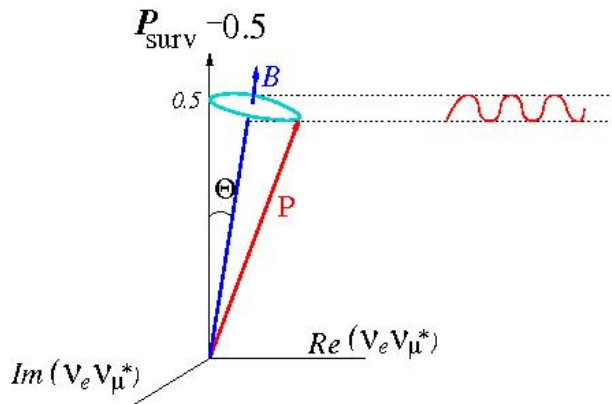
$$\frac{d}{dr} \mathbf{P}_\omega = (h\omega \mathbf{B} + \lambda \mathbf{L} + \mu \mathbf{D}) \times \mathbf{P}_\omega \equiv \mathbf{H}_\omega \times \mathbf{P}_\omega$$

Analogy to a spinning top

The spinning top analogy

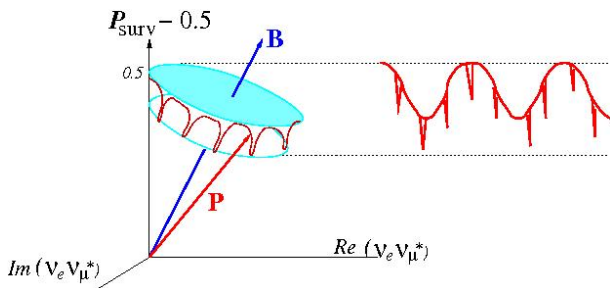
- Motion of the average \mathbf{P}_ω defined by $\mathbf{S} = \int d\omega f(\omega) \mathbf{P}_\omega$
- Construct the “Pendulum” vector $\mathbf{Q} = \mathbf{S} - \frac{\omega_{avg}}{\mu} \mathbf{B}$
- EOMs are given by $\dot{\mathbf{Q}} = \mu \mathbf{D} \times \mathbf{Q}$, $\dot{\mathbf{D}} = \omega_{avg} \mathbf{B} \times \mathbf{Q}$
- Mapping to Top : $\mathbf{Q}/Q \equiv \mathbf{r}$, $\mathbf{D} \equiv \mathbf{j}$, $\omega_{avg} \mu Q \mathbf{B} \equiv \mathbf{g}$
 $\mu^{-1} \equiv m$, $\mathbf{D} \cdot \mathbf{Q}/Q \equiv \sigma$
- EOMs now become $\mathbf{j} = m \mathbf{r} \times \dot{\mathbf{r}} + \sigma \mathbf{r}$, $\dot{\mathbf{j}} = m \mathbf{r} \times \mathbf{g}$
- Note that these are equations of a spinning top!!! (Hannestad, Raffelt, Sigl, Wong: astro-ph/0608695; Fogli, Lisi, Mirizzi, Marrone: hep-ph/0707.1998)

Synchronized oscillations



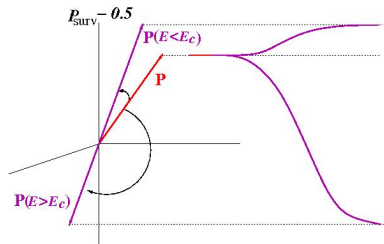
- ν and $\bar{\nu}$ of all energies oscillate with the same frequency
- No significant flavour change since mixing angle is small

Bipolar oscillations

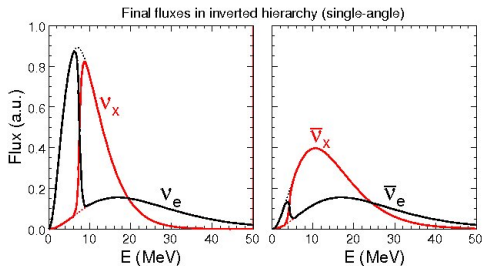


- Coherent $\nu_e \bar{\nu}_e \leftrightarrow \nu_x \bar{\nu}_x$ oscillations
- A nutating top ??
- Take place in inverted hierarchy
- Even $\theta_{13} \lesssim 10^{-10}$ OK !
- Prepare neutrinos for the “spectral split”

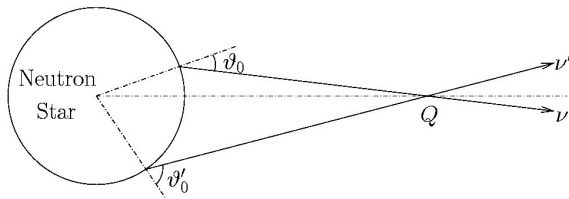
Spectral split



- $\bar{\nu}_e$ and $\bar{\nu}_x$ spectra interchange completely
- ν_e and ν_x spectra interchange for $E > E_c$
- Occurs in inverted hierarchy



A caveat: multi-angle effects



H. Duan, G. Fuller, J. Carlson, Y. Qian, PRL 97, 241101 (2006)

- “Multi-angle decoherence” during collective oscillations suppressed by $\nu-\bar{\nu}$ asymmetry

A. Esteban-Pretel et al., PRD76, 125018 (2007)

- “Single-angle” evolution along lines of neutrino flux works even for non-spherical geometries, as long as coherence is maintained

B. Dasgupta et al., arXiv:0805.3300

3-ν flavors : Formalism

- Expand all matrices in terms of Gell-Mann matrices as

$$X = \frac{I}{3} + \frac{1}{2} \sum_{i=1-8} X_i \Lambda_i$$

- The following vectors result from the matrices

$$\rho_p \Leftrightarrow \mathbf{P}_\omega$$

$$H_p^0 \Leftrightarrow \omega \mathbf{B}$$

$$V \Leftrightarrow \sqrt{2} G_F N_e \mathbf{L} \equiv \lambda \mathbf{L}$$

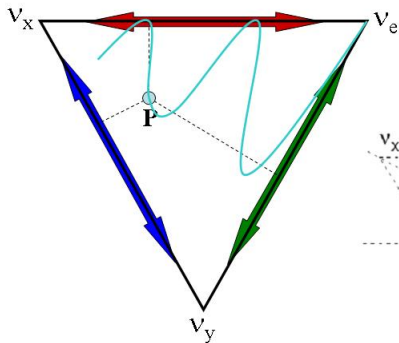
$$H_p^{vv} \Leftrightarrow \sqrt{2} G_F (n + \bar{n}) \int d\omega f(\omega) \mathbf{P}_\omega \operatorname{sgn}(\omega) \equiv \mu \mathbf{D}$$

- EOM **formally** resembles spin precession

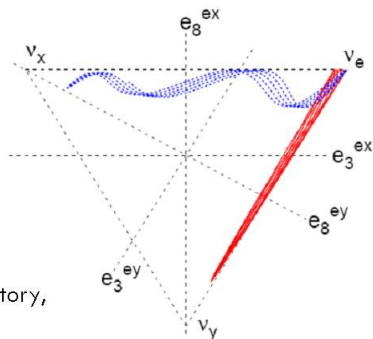
$$\frac{d}{dr} \mathbf{P}_\omega = (\omega \mathbf{B} + \lambda \mathbf{L} + \mu \mathbf{D}) \times \mathbf{P}_\omega \equiv \mathbf{H}_\omega \times \mathbf{P}_\omega$$

Synchronized oscillations: 3 flavours

Synchronized oscillations

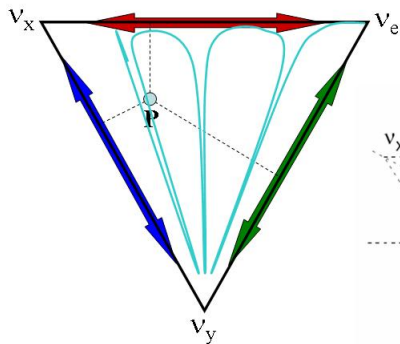


All energies have same trajectory,
but different speeds

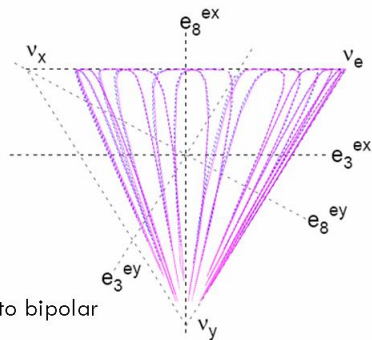


Bipolar oscillations: 3 flavours

Bipolar oscillations

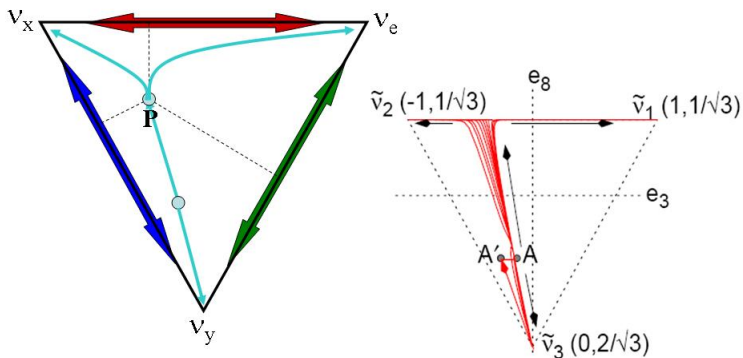


Petal-shaped trajectories due to bipolar oscillations



Spectral split: 3 flavours

Spectral splits



Two lepton number conservation laws : **B.D** conserved (Duan, Fuller, Qian: hep-ph/0801.1363; Dasgupta, Dighe, Mirizzi, Raffelt hep-ph/0801.1660)

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Lecture 1

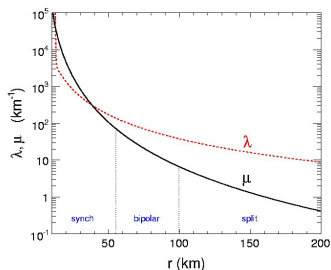
- Atmospheric neutrino puzzle
- Solar neutrino puzzle
- Our current understanding of neutrino mixing
- Explosion of a core collapse supernova
- MSW resonances inside the supernova

2

Lecture 2

- Review of the SN explosion
- Nonlinear “collective” effects on neutrino oscillations
- **Combining collective effects with MSW resonances**
- Observable signals at the detectors

Sequential dominance of processes



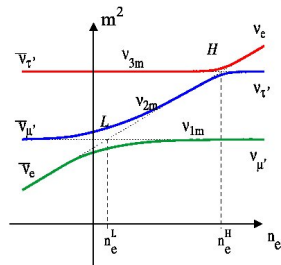
- $\mu \equiv \sqrt{2}G_F(N_\nu + N_{\bar{\nu}})$
- $\lambda \equiv \sqrt{2}G_F N_e$

- $r \lesssim 200$ km: collective effects dominate
- $r \gtrsim 200$ km: standard MSW matter effects dominate

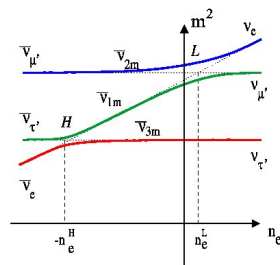
G.L.Fogli, E. Lisi, A. Marrone, A. Mirizzi, JCAP 0712, 010 (2007)

MSW Resonances inside a SN

Normal mass ordering



Inverted mass ordering



H resonance: $(\Delta m_{\text{atm}}^2, \theta_{13}), \rho \sim 10^3\text{--}10^4 \text{ g/cc}$

- In $\nu(\bar{\nu})$ for normal (inverted) hierarchy
- Adiabatic (non-adiabatic) for $\sin^2 \theta_{13} \gtrsim 10^{-3}$ ($\lesssim 10^{-5}$)

L resonance: $(\Delta m_{\odot}^2, \theta_{\odot}), \rho \sim 10\text{--}100 \text{ g/cc}$

- Always adiabatic, always in ν

Fluxes arriving at the Earth

Mixture of initial fluxes:

$$\begin{aligned}F_{\nu_e} &= p F_{\nu_e}^0 + (1 - p) F_{\nu_x}^0, \\F_{\bar{\nu}_e} &= \bar{p} F_{\bar{\nu}_e}^0 + (1 - \bar{p}) F_{\nu_x}^0\end{aligned}\quad (2)$$

Survival probabilities in different scenarios:

	Hierarchy	$\sin^2 \theta_{13}$	p	\bar{p}
A	Normal	Large	0	$\cos^2 \theta_{\odot}$
B	Inverted	Large	$\cos^2 \theta_{\odot} \mid 0$	$\cos^2 \theta_{\odot}$
C	Normal	Small	$\sin^2 \theta_{\odot}$	$\cos^2 \theta_{\odot}$
D	Inverted	Small	$\cos^2 \theta_{\odot} \mid 0$	0

- “Small”: $\sin^2 \theta_{13} \lesssim 10^{-5}$, “Large”: $\sin^2 \theta_{13} \gtrsim 10^{-3}$.
- All four scenarios separable in principle !!

Fluxes arriving at the Earth

Mixture of initial fluxes:

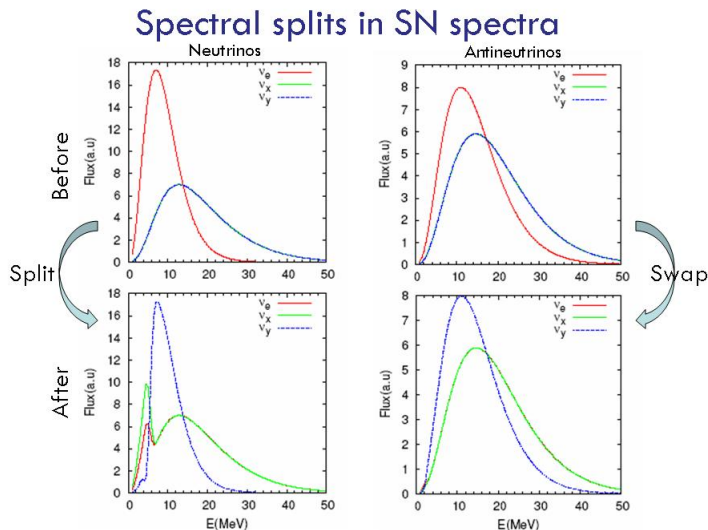
$$\begin{aligned} F_{\nu_e} &= p F_{\nu_e}^0 + (1 - p) F_{\nu_x}^0, \\ F_{\bar{\nu}_e} &= \bar{p} F_{\bar{\nu}_e}^0 + (1 - \bar{p}) F_{\nu_x}^0 \end{aligned} \quad (2)$$

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- “Small”: $\sin^2 \theta_{13} \lesssim 10^{-5}$, “Large”: $\sin^2 \theta_{13} \gtrsim 10^{-3}$.
- All four scenarios separable in principle !!

Final spectra for inverted hierarchy ($F_{\nu_e}^0 > F_{\bar{\nu}_e}^0 > F_{\nu_x}^0$)



1

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Lecture 2

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- Combining collective effects with MSW resonances
- **Observable signals at the detectors**

Signal expected from a galactic SN (10 kpc)

Water Cherenkov detector / IceCUBE:

- $\bar{\nu}_e p \rightarrow n e^+$: $\approx 7000 - 12000^*$
- $\nu e^- \rightarrow \nu e^-$: $\approx 200 - 300^*$
- $\nu_e + {}^{16}\text{O} \rightarrow X + e^-$: $\approx 150-800^*$

* Events expected at Super-Kamiokande with a galactic SN at 10 kpc

Carbon-based scintillation detector:

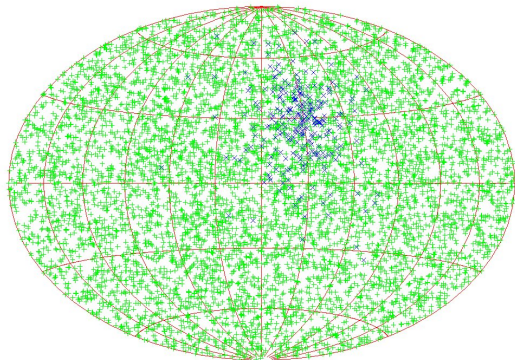
- $\bar{\nu}_e p \rightarrow n e^+$
- $\nu + {}^{12}\text{C} \rightarrow \nu + X + \gamma$ (15.11 MeV)

Liquid Argon detector:

- $\nu_e + {}^{40}\text{Ar} \rightarrow {}^{40}\text{K}^* + e^-$

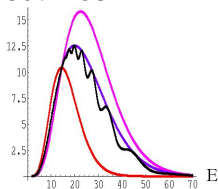
Pointing to the SN in advance

- Neutrinos reach 6-24 hours before the light from SN explosion (**SNEWS network**)
- $\bar{\nu}_e p \rightarrow n e^+$: nearly isotropic background
- $\nu e^- \rightarrow \nu e^-$: forward-peaked “signal”
- Background-to-signal ratio: $N_B/N_S \approx 30\text{--}50$
- SN at 10 kpc may be detected within a cone of $\sim 5^\circ$ at SK



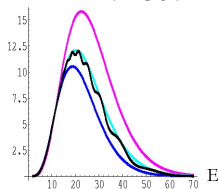
Earth matter effects

Neutrinos



$(\nu_e, \nu_x, \text{mixed } \nu)$

Antineutrinos



$(\bar{\nu}_e, \bar{\nu}_x, \text{mixed } \bar{\nu})$

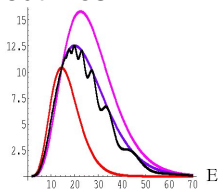
- “Earth effect” oscillations

Presence or absence of Earth matter effects:

	Hierarchy	$\sin^2 \theta_{13}$	ν_e	$\bar{\nu}_e$
A	Normal	Large	X	✓
B	Inverted	Large	X	✓
C	Normal	Small	✓	✓
D	Inverted	Small	X	X

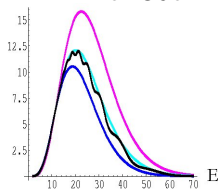
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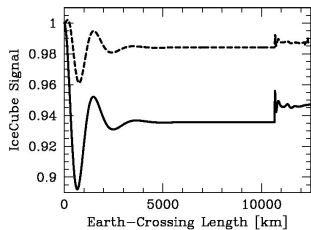
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A	Normal	Large	X	✓
B	Inverted	Large	X	✓
C	Normal	Small	✓	✓
D	Inverted	Small	X	X

IceCube as a co-detector with HK

- **Total Cherenkov count in IceCube** increases beyond statistical background fluctuations during a SN burst
F.Halzen, J.Jacobsen, E.Zas, PRD53, 7359 (1996)
- This signal can be determined to a **statistical accuracy of $\sim 0.25\%$** for a SN at 10 kpc.
- The extent of Earth effects **changes by 3–4 %** between the **accretion phase** (first 0.5 sec) and the **cooling phase**.
- **Absolute calibration not essential**

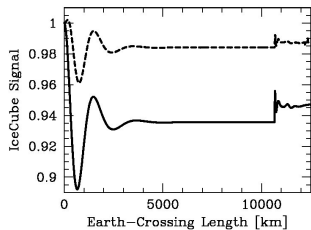


AD, M. Keil, G. Raffelt,
JCAP 0306:005 (2003)

Collective effects will change the ratio

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AD, M. Keil, G. Raffelt,
JCAP 0306:005 (2003)

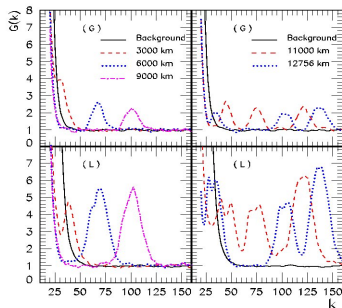
Collective effects will change the ratio

Earth effects through Fourier Transform

Power spectrum: $G_N(k) = \frac{1}{N} \left| \sum_{events} e^{iky} \right|^2$

$(y \equiv 25 \text{ MeV}/E)$

- Model independence of peak positions at a scintillator:



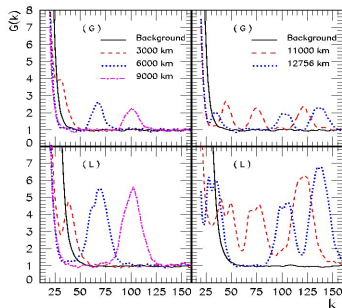
AD, M. Kachelrieß, G. Raffelt,
R. Tomàs, JCAP 0401:004 (2004)

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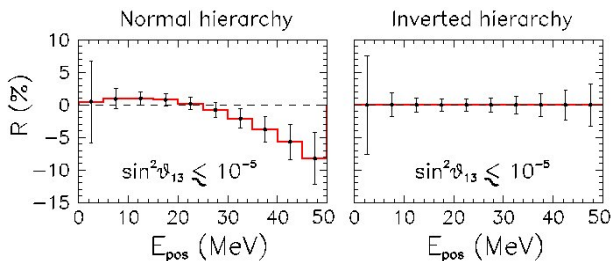


AD, M. Kachelrieß, G. Raffelt,
R. Tomàs, JCAP 0401:004 (2004)

Collective effects will not change peak positions

Earth matter effects from two Water Cherenkovs

$$R \equiv \frac{N(\text{shadowed}) - N(\text{unshadowed})}{N(\text{unshadowed})}$$

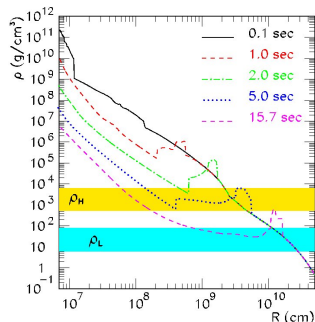


Robust experimental signature, thanks to Collective Effects

- Earth effects can distinguish hierarchies even for $\theta_{13} \rightarrow 0$

Shock wave and adiabaticity breaking

When shock wave passes through a resonance region
(density ρ_H or ρ_L):



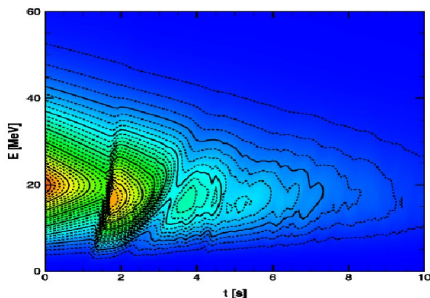
- adiabatic resonances may become momentarily non-adiabatic
scenario A \rightarrow scenario C
scenario B \rightarrow scenario D
- Sharp changes in the final spectra even if the primary spectra change smoothly

R. C. Schirato, G. M. Fuller, astro-ph/0205390

G. L. Fogli, E. Lisi, D. Montanino and A. Mirizzi, PRD 68, 033005 (2003)

Shock wave effects

- Time dependent spectral evolution



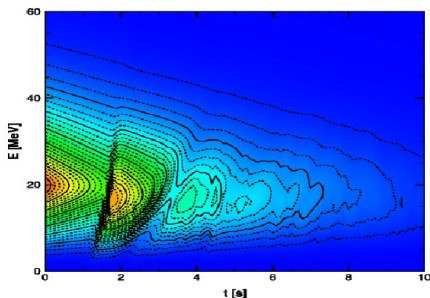
Kneller, Mclaughlin,
Brockman,
PRD77, 045023 (2008)

Presence or absence of shock effects

	Hierarchy	$\sin^2 \theta_{13}$	ν_e	$\bar{\nu}_e$
A	Normal	Large	✓	✓
B	Inverted	Large	X	✓
C	Normal	Small	X	X
D	Inverted	Small	X	X

Shock wave effects

- Time dependent spectral evolution



Kneller, Mclaughlin,
Brockman,
PRD77, 045023 (2008)

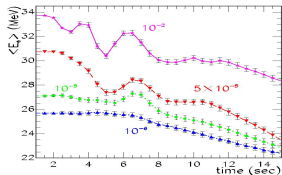
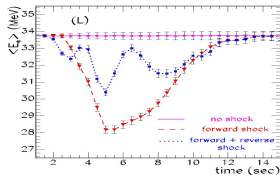
Presence or absence of shock effects

	Hierarchy	$\sin^2 \theta_{13}$	ν_e	$\bar{\nu}_e$
A	Normal	Large	✓	✓
B	Inverted	Large	X	✓
C	Normal	Small	X	X
D	Inverted	Small	X	X

Shock wave effect on survival probabaility

The shock wave movie

Double/single dip at a megaton water Cherenkov



Single (Double) dip in $\langle E_e \rangle$
 Single (Double) peak in $\langle E_e^2 \rangle / \langle E_e \rangle^2$ } for Forward (+ Reverse) shock

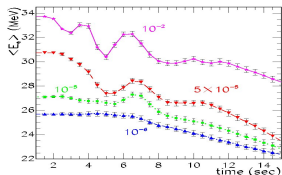
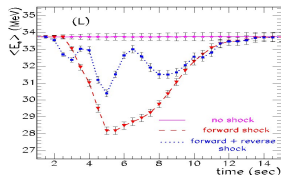
Double/single dip

- robust under monotonically decreasing average energy
- In ν_e ($\bar{\nu}_e$) for normal (inverted) hierarchy for $\sin^2 \theta_{13} \gtrsim 10^{-5}$

R.Tomas et al., JCAP **0409**, 015 (2004)

Collective effects \Rightarrow dip \leftrightarrow peak

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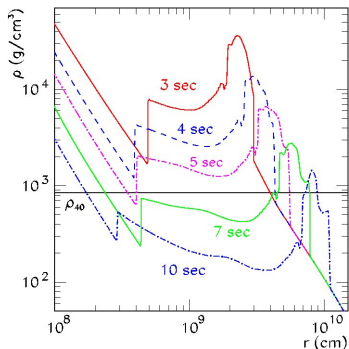
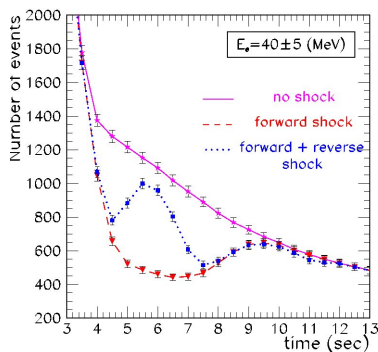
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Tracking the shock fronts



- At $t \approx 4.5$ sec, (reverse) shock at ρ_{40}
- At $t \approx 7.5$ sec, (forward) shock at ρ_{40}
- Multiple energy bins \Rightarrow the times the shock fronts reach different densities of $\rho \sim 10^2 - 10^4$ g/cc

Future perspective

Theoretical challenges

- Neutrino transport inside the SN, primary spectra
- Aspects of the nonlinear effects: spectral split, multi-angle effects, decoherence, turbulent effects

Experimental challenges

- Reconstruction of ν_e spectrum (liq Ar detector ?)
- Multiple megaton-class water Cherenkov detectors

Expected bonanza

- Neutrino mass hierarchy
- Upper/lower bounds on θ_{13}
- Understanding of shock wave propagation

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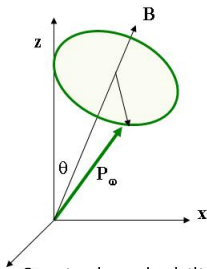
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Synchronized oscillation

- Spin is very large : Top precesses about direction of gravity
- At large $\mu \gg \omega_{\text{avg}}$: \mathbf{Q} precesses about \mathbf{B} with frequency ω_{avg}
- Therefore \mathbf{S} precesses about \mathbf{B} with frequency ω_{avg}
- Large μ : all \mathbf{P}_ω are bound together: same EOM



$$\frac{d}{dr} \mathbf{P}_\omega = (\omega \mathbf{B} + \lambda \mathbf{L} + \mu \mathbf{D}) \times \mathbf{P}_\omega$$

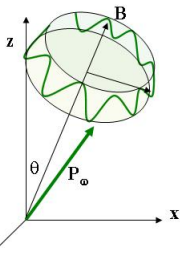
(Pastor, Raffelt, Semikoz: [hep-ph/0109035](https://arxiv.org/abs/hep-ph/0109035))

Precession = Sinusoidal Oscillation

- Survival probability : $\left| \langle \nu_e | \nu_e(r) \rangle \right|^2 = (1 + P_z) / 2$
 $= 1 - \sin^2 2\theta \sin^2 \omega_{\text{avg}} r$

Bipolar oscillation

- Spin is not very large : Top precesses and nutates
- At large $\mu \geq \omega_{\text{avg}}$: \mathbf{Q} precesses + nutates about \mathbf{B}
- Therefore \mathbf{S} does the same
- All \mathbf{P}_ω are still bound together, same EOM:



$$\frac{d}{dr} \mathbf{P}_\omega = (\omega \mathbf{B} + \lambda \mathbf{L} + \mu \mathbf{D}) \times \mathbf{P}_\omega$$

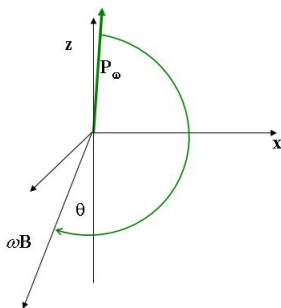
(Hannestad, Raffelt, Sigl, Wong: astro-ph/0608695; Duan, Fuller, Carlson, Qian: astro-ph/0703776)

Nutation = Complicated elliptic functions

- Survival probability : $\left| \langle \nu_e | \nu_e(r) \rangle \right|^2 = (1 + P_z) / 2$

Adiabatic spectral split

- Top falls down when it slows down (when mass increases)
- If μ decreases slowly \mathbf{P}_ω keeps up with \mathbf{H}_ω
- As $\mu \rightarrow 0$ from its large value : \mathbf{P}_ω aligns with $\hbar\omega\mathbf{B}$
- For inverted hierarchy \mathbf{P}_ω has to flip, **BUT...**



$$\frac{d}{dr} \mathbf{B} \cdot \mathbf{D} \approx \mathbf{B} \cdot \dot{\mathbf{D}} = \mathbf{B} \cdot (\omega_{avg} \mathbf{B} \times \mathbf{Q}) = 0$$

- $\mathbf{B} \cdot \mathbf{D}$ is conserved so all \mathbf{P}_ω can't flip
- Low energy modes anti-align
- All \mathbf{P}_ω with $\omega < \omega_c$ flip over
- Spectral Split

(Raffelt, Smirnov:hep-ph/0705.1830)