

# Trans-Planckian relics in the scalar to tensor ratio

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## A question

Inflation provides an explanation—and  
a causal one—for the origins of what  
we see in the universe

But is its theoretical framework  
sufficiently sound?



## How does inflation make stuff?

Two ingredients:

1) A quantum field,  $\varphi(\eta, \mathbf{x})$

2) an expanding universe

$$ds^2 = a^2(\eta) [d\eta^2 - d\mathbf{x} \cdot d\mathbf{x}]$$

The origin of structures (inhomogeneities) lies in the fact that quantum fields always fluctuate,

$$\langle 0(\eta) | \varphi(\eta, \mathbf{x}) \varphi(\eta, \mathbf{y}) | 0(\eta) \rangle \neq 0$$

and the expansion makes tiny stuff big.



## A quick sketch in de Sitter space

Let us simplify:

1) A massless free field,  $\nabla^2 \varphi = 0$

2) de Sitter space

$$a(\eta) = -1/H\eta, \text{ with } \eta = -\infty \dots 0$$

An operator expansion,

$$\varphi(\eta, \mathbf{x}) = \int \frac{d^3 \mathbf{k}}{(2\pi)^3} [ \varphi_k(\eta) e^{i\mathbf{k} \cdot \mathbf{x}} a_{\mathbf{k}} + \varphi_k^*(\eta) e^{-i\mathbf{k} \cdot \mathbf{x}} a_{\mathbf{k}}^\dagger ]$$

with the *general* solution,

$$\varphi_k = \frac{H}{\sqrt{2k^3}} \frac{1}{\sqrt{1 - f_k f_k^*}} \left\{ (i - k\eta) e^{ik\eta} - f_k (i + k\eta) e^{-ik\eta} \right\}$$



## Which solution (or state)?

The general solution again,

$$\varphi_k = \frac{H}{\sqrt{2k^3}} \frac{1}{\sqrt{1 - f_k f_k^*}} \left\{ (i - k\eta) e^{ik\eta} - f_k (i + k\eta) e^{-ik\eta} \right\}$$

Assume space is flat at small scales:  $f_k = 0$

$$\varphi_k(\eta) = \frac{H}{\sqrt{2k^3}} (i - k\eta) e^{ik\eta}$$

Some worries: small means  $k/a(\eta) \gg H$

- 1) For  $H \sim 10^{15}$  GeV,  $k/a(\eta) \rightarrow M_{\text{pl}}$  ?
- 2)  $k/a(\eta) \gg H$  depends on the time



## The standard prediction

What is the shape of the primordial noise?

$$\langle 0 | \varphi(\eta, \mathbf{x}) \varphi(\eta, \mathbf{y}) | 0 \rangle = \int \frac{d^3 \mathbf{k}}{(2\pi)^3} e^{i\mathbf{k} \cdot (\mathbf{x} - \mathbf{y})} \frac{2\pi^2}{k^3} P_k(\eta)$$

The power spectrum,

$$P_k(\eta) = \frac{k^3}{2\pi^2} \varphi_k \varphi_k^* = \frac{H^2}{4\pi^2} (1 + k^2 \eta^2)$$

By the end of inflation, the modes have been stretched well outside the horizon,

$$k \ll a(\eta)H = \frac{H}{-H\eta} \quad \Rightarrow \quad -k\eta \ll 1$$

The power spectrum is flat:  $P_k \approx H^2/4\pi^2$



## Finer points

To be more realistic:

1) The background fluctuates too

$$a^2(\eta) \eta_{\mu\nu} \rightarrow g_{\mu\nu} = a^2(\eta) [ \eta_{\mu\nu} + \delta g_{\mu\nu}(\eta, \mathbf{x}) ]$$

2)  $\varphi$  = “inflaton + scalar part of metric”

3) there are tensors too,  $h_{ij}(\eta, \mathbf{x}) \in \delta g_{ij}$

so we are actually considering *quantum fluctuations of gravity*

which is reasonable as long as we are not in the Planck regime



## Which wavenumbers ( $k$ ) are safe?

At a minimum,  $k_{\min}$

A mode entering the horizon today was just leaving the horizon when we start our evolution, say at  $\eta_0$ ,

$$\frac{k_{\min}}{a(\eta_0)} = H \quad \Rightarrow \quad k_{\min} = -\frac{1}{\eta_0}$$

At the maximum,  $k_{\star}$

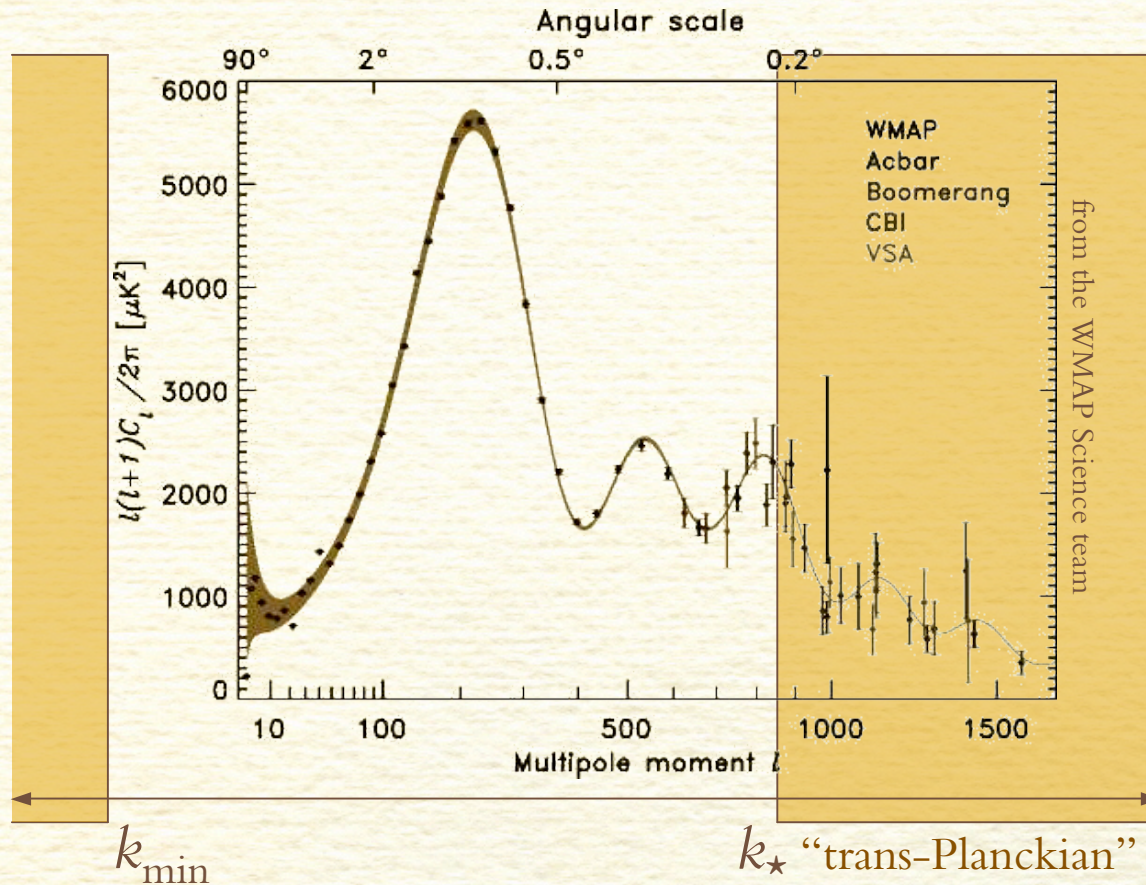
no “trans-Planckian” modes at  $\eta_0$ ,

$$\frac{k_{\star}}{a(\eta_0)} = M_{\text{pl}} \quad \Rightarrow \quad k_{\star} = -\frac{M_{\text{pl}}}{H\eta_0} = \frac{M_{\text{pl}}}{H} k_{\min}$$

So  $\frac{H}{M_{\text{pl}}} k_{\star} < k < k_{\star}$



# Which wavenumbers ( $k$ ) are safe?



For  $H \sim 10^{15}$  GeV,  $\frac{k_*}{k_{\min}} = \frac{M_{\text{pl}}}{H} \sim 10^3$



# How to approach the problem

- 1) Assume that we know what happens beyond the Planck scale

(historically, this was the first approach)

- 2) Assume that beyond the Planck scale, space-time still looks “flat”

“adiabatic states”

Mottola, Molina-Paris, Anderson

- 3) Look for general descriptions for the effects from beyond the Planck scale

effective theory approaches

Collins & Holman

Schalm, Shiu, van der Shaar



## A simple framework

To model some of the odd things that could happen, add some *symmetry-breaking operators*,

$$L_S = \frac{c_S^{p,q}}{a^q M^{p+q-2}} H^p \varphi(-\vec{\nabla} \cdot \vec{\nabla})^{q/2} \varphi$$

$$L_T = \frac{c_T^{p,q}}{a^q M^{p+q-2}} H^p \tau_{ij}(-\vec{\nabla} \cdot \vec{\nabla})^{q/2} \tau^{ij}$$

These modify the scalar ( $\varphi$ ) and tensor ( $\tau_{ij}$ ) power spectra, e.g. [for  $q = 1, 2, >2$ ]

$$P_k^\tau = \frac{H^2}{\pi^2} + \frac{H^2}{\pi^2} \frac{H^{p+q-2}}{M^{p+q-2}} \left\{ \begin{array}{l} 2\pi c_T^{p,1} + \dots \\ 2c_T^{p,2} \left[ 3 + \cos \left[ 2 \frac{M}{H} \frac{k}{k_\star} \right] \right] + \dots \\ c_T^{p,q} \left[ \frac{M}{H} \frac{k}{k_\star} \right]^{q-2} \cos \left[ 2 \frac{M}{H} \frac{k}{k_\star} \right] + \dots \end{array} \right.$$



## The scalar to tensor ratio

Define the scalar to tensor ratio ( $r$ ) by

$$r = 4\varepsilon \frac{P^{\tau}(k_0 \eta)}{P^{\varphi}(k_0 \eta)}$$

$\varepsilon$  is a slow-roll parameter,  $\varepsilon = -H'/(aH^2)$

A few quick observations:

1) a constant shift,  $r = 16\varepsilon \frac{1 + 2\pi c_T}{1 + \pi c_S}$

2) oscillations,  $r = 16\varepsilon \left[ 1 + \frac{k_0 c_S}{2k_{\star}} \cos \left[ 2 \frac{M}{H} \frac{k_0}{k_{\star}} \right] \right]^{-1}$

3) living in a trough (*i.e.*, does  $k_0$  matter?)



# Conclusions

Possibilities:

- 1) The details of the vacuum at trans-Planckian lengths are important and must be included in some way  
very high energies might be experimentally accessible
- 2) There is some principle that selects the “standard” vacuum  
and it must be imposed on any quantum description of gravity
- 3) Something is wrong about our standard inflationary picture



*the end*